

ATTORNEY DOCKET NO.:  
073897.0147

PATENT APPLICATION

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TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)

**Mail Stop: Patent Application**  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Attorney Docket No.: 073897.0147  
Express Mail. No. EV 324624525 US



Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Joel D. Daugherty, et al.

For: **SYSTEM AND METHOD FOR DETECTING UNAUTHORIZED  
ACCESS USING A VOICE SIGNATURE**

Enclosed are:

- (1) Specification, including Claims and Abstract (30 pages);
- (2) Declaration and Power of Attorney (4 pages);
- (3) Formal Drawings (5 pages);
- (4) Assignment of the invention to Capital One Financial Corporation (3 pages);
- (5) Separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h) (2 pages);
- (6) This Transmittal Form (2 pages) with attached patent application filing fee in the amount of \$1,038.00;
- (7) Information Disclosure Statement (1 page);
- (8) PTO-1449 (1 page with 1 attached reference);
- (9) 1 page Certificate of Mailing by Express Mail EV 324624525 US; and
- (10) Baker Botts Return Acknowledgment Postcard.

Applicant is a large entity.

| FEE CALCULATION    |        |      |                 |          | FEE                    |
|--------------------|--------|------|-----------------|----------|------------------------|
|                    | Number |      | Number<br>Extra | Rate     | Basic Fee<br>\$ 750.00 |
| Total Claims       | 22     | - 20 | 2               | X \$18 = | \$ 36.00               |
| Independent Claims | 6      | - 3  | 3               | X \$84 = | \$ 252 .00             |
| TOTAL FILING FEE = |        |      |                 |          | \$1,038.00             |


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PATENT APPLICATION

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Enclosed is a check in the amount of \$1,038.00 for the filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.  
Attorneys for Applicant

  
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joel D. Daugherty, et al.  
Filed: September 11, 2003  
Title: **SYSTEM AND METHOD FOR DETECTING  
UNAUTHORIZED ACCESS USING A VOICE  
SIGNATURE**


**MAIL STOP: PATENT APPLICATION**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application (30 pages), Declaration and Power of Attorney (4 pages), Assignment (3 pages); Assignment Recordation Form (2 page), a check in the amount of \$40.00 to cover the assignment filing fee, 5 pages of formal Drawings, Information Disclosure Statement (1 page), PTO-1449 (1 page with one attached reference), Fee Transmittal (2 pages), a check in the amount of \$1,038.00 to cover the patent application filing fee, Baker Botts return acknowledgement post card (1 card), and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 11<sup>th</sup> day of September, 2003 and is addressed to Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450.

  
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Willie Jiles

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No. EV 324624525 US

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073897.0147